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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

10/701,830

Filing Date

November 5, 2003

First Named Inventor

Lonnice E Holder

Art Unit

Not Yet Assigned

Examiner Name

Not Yet Assigned

Attorney Docket Number

36400.31US3

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Response to Missing Parts/  
Incomplete Application

☐

Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a

☐

Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

After Allowance Communication  
to Group

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please  
Identify below):

Information Disclosure Statement;  
Form PTO/SB/08a; and Postcard

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Thomas C. McDonough (Reg. No. 33,734); Neal Gerber and  
Eisenberg LLP

Signature

*Thomas C. McDonough*

Date

December 2, 2003

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Washington, DC 20231 on this date:

Typed or printed name

Bonnie McClurg

Signature

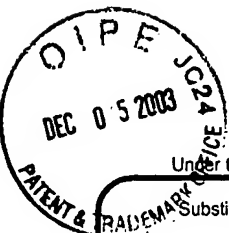
*Bonnie McClurg*

Date

12/2/03

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 4

**Compleat if Known**

Application Number	10/701,830
Filing Date	November 5, 2003
First Named Inventor	Lonnie E. Holder
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	36400.31US3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,487,856	12-2002	Ohashi et al	
		US- 6,425,244	07-2002	Ohashi et al	
		US- 6,361,282	03-26-2002	Wanschura	
		US- 6,332,393	12-25-2001	Trimble	
		US- 6,185,936	02-2001	Hauser et al	
		US- 5,957,229	09-28-1999	Ishii	
		US- 5,913,950	06-22-1999	Matsufuji	
		US- 5,887,484	03-30-1999	Abend et al	
		US- 5,873,287	02-23-1999	Kawada	
		US- 5,862,664	01-26-1999	Ohashi et al	
		US- 5,845,559	12-1998	Schoroeder et al	
		US- 5,836,159	11-17-1998	Shimizu	
		US- 5,819,537	10-13-1998	Okada et al	
		US- 5,800,134	09-01-1998	Hasegawa et al	
		US- 5,794,443	08-18-1998	Shimizu	
		US- 5,771,758	06-30-1998	Hauser	
		US- 5,628,189	05-13-1997	Hauser et al	
		US- 5,588,294	12-31-1996	Sakakura et al	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2001-263259	09-26-2001	Kanzaki		
		JP 2001-146951	05-29-2001	Kanzaki		
		JP 2-135765	11-1990			
		JP 2000-9023	1-2000			

Examiner  
SignatureDate  
Considered

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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of

4

**Completion if Known**

Application Number	10/647,840
Filing Date	08/25/2003
First Named Inventor	Lonnie E. Holder
Art Unit	
Examiner Name	
Attorney Docket Number	36400.31US2

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 5,555,727	09-17-1996	Hauser et al	
		US- 5,546,752	08-20-1996	Horton et al	
		US- 5,501,578	03-26-1996	Skirde	
		US- 5,440,951	08-15-1995	Okada et al	
		US- 5,419,130	05-1995	Ruckgauer et al	
		US- 5,373,697	12-20-1994	Joliff et al	
		US- 5,339,631	08-23-1994	Ohashi	
		US- 5,333,451	08-02-1994	Sakikawa et al	
		US- 5,314,387	05-24-1994	Hauser et al	
		US- 5,311,740	05-17-1994	Shiba et al	
		US- 5,289,738	03-01-1994	Szulczewski	
		US- 5,207,060	05-04-1993	Sheets	
		US- 5,201,692	04-13-1993	Johnson et al	
		US- 5,182,966	02-02-1993	von Kaler et al	
		US- 5,163,293	11-17-1992	Azuma et al	
		US- 5,156,576	10-20-1992	Johnson	
		US- 5,146,748	09-15-1992	Okada	
		US- 5,136,845	08-11-1992	Woodley	

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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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**C m p l t if Known**

Application Number	10/647,840
Filing Date	08/25/2003
First Named Inventor	Lonnie E. Holder
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		US- 5,094,077	03-10-1992	Okada	
		US- 5,074,195	12-24-1991	Ohashi et al	
		US- 5,042,252	08-27-1991	Havens et al	
		US- 4,986,073	01-22-1991	Okada	
		US- 4,934,253	06-19-1990	Berthold et al	
		US- 4,932,209	06-12-1990	Okada et al	
		US- 4,920,733	05-1990	Berris, Joseph E	
		US- 4,914,907	04-10-1990	Okada	
		US- 4,905,472	03-06-1990	Okada	
		US- 4,899,541	02-13-1990	Okada et al	
		US- 4,896,506	01-1990	Shivvers et al	
		US- 4,870,820	10-03-1989	Nemoto	
		US- 4,856,368	08-15-1989	Fujiskai et al	
		US- 4,690,036	09-1987	Kosaka et al	
		US- 4,252,508	02-1981	Forster	
		US- 4,212,601	07-1980	Ina	
		US- 4,167,855	09-1979	Knapp	
		US- 4,111,003	09-1978	Bolinger et al	

**FOREIGN PATENT DOCUMENTS**

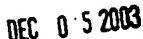
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

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SignatureDate  
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Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**Complete if Known**

*(Use as many sheets as necessary)*

Sheet

4

of

4

Application Number

10/701.830

Filing Date

November 5, 2003

First Named Inventor

Lonnie E. Holder

**Art Unit**

Not Yet Assigned

Examiner Name

Not Yet Assigned

Attorney Docket Number

36400.31US3

[illegible]

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

Date \_\_\_\_\_

**Considered**

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*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Holder et al.	)	
		)	
Serial No.:	10/701,830	)	Examiner: unassigned
		)	
Filed:	November 5, 2003	)	Group Art Unit: unassigned
		)	
Title:	Dual Pump Transmission	)	No.: 36400.31US3

**INFORMATION DISCLOSURE STATEMENT**  
**(37 C.F.R. §1.97(b)(3))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, pursuant to 37 C.F.R. §1.56, the items of information listed on the enclosed Form PTO/SB/08a are brought to the attention of the Examiner.

This statement should be considered because it is submitted, pursuant to 37 C.F.R. § 1.97(b)(3), before the mailing of the first Office Action. Therefore, no fee is required.

In accordance with 37 C.F.R. §1.98(d), copies of U.S. patents and foreign patents and other art listed on the enclosed forms PTO/SB/08A and PTO/SB/08B which were previously cited by or submitted to the Office with the previously filed applications, of which the current application is a continuation, are not enclosed. The previously filed application upon which the current application relies for an earlier filing date under 35 U.S.C. § 120 is:

U.S. patent application Serial No. 10/118,263 filed on April 8, 2002.

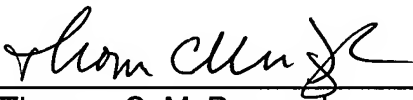
Other art is also provided, and copies of the U.S. Patents are not enclosed due to the Office's recent notice.

In accordance with 37 C.F.R. §1.104, no representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§102 and 103 and Applicant reserves the right, pursuant to 37 C.F.R. §1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicant does not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of MPEP 609, it is requested that the Examiner return a copy of the attached Form PTO/SB/08a, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Respectfully submitted,

Date: December 2, 2003

By:   
Thomas C. McDonough  
Registration No. 33,734  
NEAL, GERBER & EISENBERG LLP  
2 North LaSalle Street, Suite 2200  
Chicago, Illinois 60602  
(312) 269-5282

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By: 